

RESOLUTION TO APPOINT STOCKBROKER OR WEALTH MANAGER - INDIVIDUAL SIPP

This resolution relates to the individual fund under the Private Pension/FIPP/Flexible Pension [delete accordingly] of[name of member or, where relevant, dependant] (the "**Member/Dependant**") that is known as the[name given to the SIPP] (the "**SIPP**") and has unique reference number [plan number].

It is hereby agreed and resolved that:

1.[name of stockbroker or wealth manager] (the "**stockbroker**" or "**wealth manager**", as the context requires) be appointed upon the terms set out in the appendix in relation to the whole or part of the SIPP;
2. Hornbuckle Mitchell Trustees Limited, as independent trustee of the SIPP, be authorised to execute on behalf of the trustees such documents as are necessary in order to effect the appointment described in paragraph 1;
3. subject to paragraph 4, the Member/Dependant is authorised for the time being to instruct the stockbroker and/or agree an appropriate investment mandate with the wealth manager regarding the investments that the stockbroker/wealth manager is to enter into on behalf of the trustees of the SIPP; and
4. the Member/Dependant acknowledges and agrees with the trustees of the SIPP that he or she will not
 - instruct the stockbroker to enter into any investment for the SIPP unless the trustees' or the SIPP's liability for losses associated with the investment is limited to the capital amount of that investment, or
 - in the case a wealth manager, agree any investment mandate with the wealth manager unless the trustees' or the SIPP's liability for losses associated with any investment made under the mandate is limited to the capital amount of that investment.

"Investment" includes but is not limited to contracts for difference or similar instruments.

..... Authorised signatory of Hornbuckle Mitchell Group Plc
 Dated:

..... Authorised signatory of Hornbuckle Mitchell Trustees Limited
 Dated:

Signature of co-trustee: See notes
 (Print name): Dated:

Signature of Member/Dependent See Notes
 (Print name): Dated:

Notes

The **member/dependent will need to sign this resolution twice**: once in their capacity as co-trustee and once in their capacity as member/dependent. A dependant e.g. a widowed spouse etc should sign only where the member has died and the dependant is entitled to benefits under the SIPP.

APPENDIX

Dear Sir

Individual fund name:.....

Individual fund number:.....(the "SIPP")

Name of member:.....(the "member")

We refer to your general terms of business referred to or contained in the enclosed account opening form.

We agree to your general terms of business subject to the following. You acknowledge and agree that we enter into this arrangement with you as trustees of the SIPP under the Private Pension/FIPP/Flexible Pension [delete accordingly]. Notwithstanding any provision to the contrary in your general terms of business, you undertake to us that you will not enter into any investment on our behalf as trustees of the SIPP, including but without limitation contracts for difference or similar instruments, unless our or the SIPP's liability for losses associated with the investment is limited to the capital amount of that investment. For the avoidance of doubt, we acknowledge that nothing in this undertaking has the effect of guaranteeing the repayment of capital or the performance of any investment you may make on our behalf. Your carrying out of any instruction or mandate regarding the SIPP will be on the basis set out in this paragraph.

Subject to the above, you should take instructions regarding the investments you are to enter into or, in the case of a wealth manager, the investment mandate you are to pursue from the member, unless and until we notify you otherwise.