

Transfer In form

If this transfer relates to an existing scheme, please insert the reference here

Or

If this transfer is an additional transfer to a new application, please attach this form to the application form.

1 Personal Details

Title	Forenames		
Surname			
Address			
			Postcode

2 Basis of Advice Declaration

To meet the Financial Services Authority (FSA) regulatory reporting requirements, Hornbuckle Mitchell must record whether advice has been given.

Have you received advice from your adviser in relation to this transaction? Yes No
On which basis has the sale been transacted? Face to Face At a distance

3 Introducer Details (please complete if transferring to an existing plan)

Name	
Address	
	Postcode
Name of Regulator	
Regulator Reference Number	

Is the introducer to receive remuneration by deduction from the fund? Yes No

Initial payment of or % of fund

Renewal payment of or % of fund

4 Transfer Request

Please complete the following section with details relating to your transferring scheme or contract.

Name of transferring scheme/Company	
Address (of scheme trustees or administrator)	
	Postcode
Estimate transfer value £	
Scheme/member reference number(s)	

Have you or your financial adviser already requested discharge forms? Yes No

We will always write to the transferring scheme/ Company to request the transfer. However, if discharge forms have not been requested the transferring scheme/company may require further forms completing before the transfer can take place.

Will the transfer be a cash only transfer? Yes No

If "NO", please complete the following details of the assets to be transferred in-specie (please complete on a separate sheet if necessary).

Name of asset and description (Inc units and fund)	Ref/policy number	Estimated value £

Are you under the age of 55? Yes No

Are you already taking benefits from this pension? Yes No

If 'Yes', what is the estimated value of the fund from which benefits have been taken?

Is the transfer from an occupational pension scheme, or an individual contract providing fixed or guaranteed benefits that replace similar benefits under a defined benefits pension scheme? Yes No

Is the transfer a pension credit rights transfer? Yes No

Is the transfer part of a "block transfer" (see schedule 36 of the Finance Act 2004)? Yes No

If 'Yes', please use the space below to provide full details of the transfer and in particular please provide details of any tax-free cash entitlement, normal minimum pension age or other such rights/entitlements which may be protected as a result of the 'block transfer' pursuant to Schedule 36 of the Finance Act 2004.

Does the transfer include Protected Rights?

Yes No

What is the value of the Protected Rights?

Are you already taking benefits from the Protected Rights?

Yes No

If "YES", what is the estimated value of the fund from which benefits have been taken?

NOTES

In order to comply with the proportionality rules set out by the Department for Work and Pensions, if your pension contains crystallised Protected Rights then we may have to crystallise additional funds to ensure your pension continues to comply with the proportionality rules. If you are under the age of 55 and further crystallisation of funds is required we will be unable to accept the transfer.

If you die, the Protected Rights fund must be used to provide a survivor's pension to any surviving spouse or civil partner. If there is no spouse or civil partner on death we will be guided by your Expression of Wish nomination form. Please give details of your spouse or civil partner below.

5 Declaration

I confirm that to the best of my knowledge and belief, the details given on this application form are correct and complete, that I wish to transfer the above benefits to my scheme and that I realise that my Protected Rights fund must be ring-fenced from my other pension benefits.

Signed

Date

Please return the signed and dated form to the relevant office below

Scotland, Northern Ireland and the North East
4 Albyn Place
Edinburgh
EH2 4NG
Tel: 0131 220 0353
Fax: 0131 220 0369
E-mail: Scotland@Hornbuckle.co.uk

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E-mail: North@Hornbuckle.co.uk

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Fax: 0116 254 3342
E-mail: Midlands@Hornbuckle.co.uk

London Office
Tel - 0845 124 5394